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UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

First Capital Real Estate Investments, LLC, a
California Limited Liability Company

Petitioner,

v.

SDDCO Brokerage Advisors, LLC, Financial
Industry Regulatory Authority

Respondent.

Civil Action No. 18-cv-2013

**NOTICE OF VERIFIED
PETITION TO VACATE
ARBITRATION AWARD**

PLEASE TAKE NOTICE that Petitioner First Capital Real Estate Investments, LLC, “Petitioner,” will move this Court at the Daniel Patrick Moynihan United States Courthouse, 500 Pearl Street, New York, New York 10007, on April 30, 2018, at 10:00 o'clock a.m., or as soon thereafter as counsel may be heard, for an Order vacating the FINRA Dispute Resolution Award, dated February 2, 2018, in favor of Respondent SDDCO Brokerage Advisors, LLC, “Respondent,” and against Petitioner, in the amount of \$200,000 plus interest, attorney fees in the amount of \$86,859, accessed forum fees in the amount of \$13,275, and awarded Sanctions against the Petitioner herein on the first day of the Hearing.

PLEASE TAKE FURTHER NOTICE that, in support thereof, Petitioner will

rely on this Notice of Motion, the Verified Petition to Vacate Arbitration Award, and the Memorandum of Law in Support of Verified Petition to Vacate Arbitration Award.

Dated: New York, New York
March 6, 2018

Respectfully submitted,

BRINEN & ASSOCIATES, LLC

By: 

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